



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/162,969	09/29/98	ANDON	3 ASM-P-98-023

0242/1020

PATENTS-TMS
A PROFESSIONAL CORPORATION
2025 W NORTH AVENUE SUITE 2B
CHICAGO IL 60647

NOT ASSIGNED

2873

DATE MAILED: 10/20/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

M. J. Middleton
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SECTOR
#3 \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMUNICATION TO THE PATENT OFFICE



APPLICANT: Junichi Andoh ATTY. DOCKET NO.: ASM-P98-023
SERIAL NO.: 09/162,969 GROUP ART UNIT: 2873
FILING DATE: Sept. 29, 1998 EXAMINER: Not Assigned
INVENTION: "OPTICAL DISC DRIVE AND METHOD OF EXAMINING THE
OPTICAL DISC DRIVE"

Asst. Commissioner of Patents
Washington, D.C. 20231

SIR:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, a copy of which is attached hereto, Applicant herewith submits the executed Declaration and Power of Attorney. Also submitted is a check in the amount of \$130.00 for payment of the surcharge as set forth in 37 CFR §1.16(e). If any other fees are due and owing for this application, please charge Deposit Account No. 50-0595. **A duplicate copy of this sheet is enclosed for this purpose.**

Respectfully submitted,

(Reg. No. 35,018)

Brian M. Mattson
Patents+TMS

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231 on November 9, 1998.

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130.00 DP

(Reg. No. 35,018)

Brian M. Mattson